

**PARTICLE SIZE AND MORPHOLOGY CONTROL IN RUBBER MODIFIED  
MONOVINYLDENE AROMATIC POLYMERS**

**CROSS REFERENCE STATEMENT**

5        This application claims the benefit of U.S. Provisional Application No. 60/445,729, filed February 5, 2003.

**BACKGROUND OF THE INVENTION**

The present invention relates to rubber modified polymers obtained from vinyl aromatic monomers.

10      Rubber modified polymers, such as high impact polystyrene (HIPS) and acrylonitrile-butadiene-styrene (ABS), are typically produced by mass polymerizing styrene or styrene/acrylonitrile in the presence of dissolved rubber. ABS is more typically produced using an emulsion polymerization process which typically produces small rubber particles with the added benefit of high gloss products, but with increased conversion costs.

15      In the preparation of rubber modified polymers, the rubber particle size and morphology play an important role in controlling the physical properties of the final product. The final rubber particle size can be determined by a number of different parameters including shear, viscosity, and interfacial tension. Increased shear after phase inversion can be used to reduce particle size, however this adds expense and complexity to the process.

20      The final rubber particle size can also be influenced by the viscosity ratio of the disperse phase/continuous phase, and the viscosity of the continuous phase polymer. Sizing readily occurs when the viscosity ratio is between 0.2 and 1; and with higher viscosity of the continuous phase, the greater the ease of particle breakup. The rubber phase viscosity is determined by the rubber level and by the solution viscosity of the rubber. Additionally,

25      grafting and crosslinking of the rubber will increase rubber viscosity. Interfacial surface tension will also influence rubber particle size and morphology, wherein a reduction of the interfacial tension can be achieved by utilizing the compatible block rubbers or by grafting to make compatible rubbers in-situ. Compatible block rubbers are characterized by having a block miscible with the continuous phase and a block miscible with the discontinuous

30      phase. A reduction of the interfacial tension will facilitate the sizing process thereby increasing the flexibility. In HIPS compositions, compatible rubbers include styrene-butadiene block rubbers. In ABS compositions, styrene-butadiene block rubbers are not

compatible since polystyrene is not miscible with the SAN continuous phase. SAN-butadiene block rubbers are compatible with ABS, but are not commercially available. Therefore in ABS polymer compositions, compatible block copolymers have to be produced in situ via grafting. The use of functionalized rubbers has been investigated in order to 5 make such compatible block rubbers in-situ in both HIPS and ABS processes due to the economic advantage.

U.S. Patent 5,721,320 by Priddy et al. discloses a free radical polymerization in the presence of a functionalized diene rubber having a stable free radical group such that a styrene-diene block or SAN-diene rubber is formed. However, Priddy refers to the 10 production of transparent HIPS and ABS, wherein the rubber particle size is very small (0.1 micron), which is insufficient for many high impact applications.

U.S. Patent 6,262,179 by Atochem discloses a process for producing vinyl aromatic polymers in the presence of a stable free radical. However, the resultant product has a very wide rubber particle size distribution, which can negatively affect physical properties.

15 U.S. Patent 6,255,402 by Atochem discloses a process of polymerizing at least one vinyl aromatic monomer in the presence of a rubber comprising a group which generates a stable free radical. However, this process utilizes a wide variety of rubbers, including those having high solution viscosity, which can negatively affect physical properties, for example. gloss, of the polymer.

20 U.S. Patent 6,255,448 by Atochem discloses a process for the polymerization of at least one monomer in the presence of a stable free radical having substitution in the beta position. However, these beta substituted stable free radicals can have increased cost and may not be used in anionic coupling due to the reactivity of the substituent.

25 WO 99/62975 by Atochem discloses a process using a stable free radical and an initiator. This process also utilizes high viscosity rubbers which can negatively affect gloss and other physical properties.

WO 01/74908 by BASF discloses a method of polymerization in the presence of a stable free radical and a thiol compound. U.S. Patent 4,581,429 discloses the use of alkoxy amines (>N-O-X) in controlled radical polymerization, wherein the alkoxy amine forms a 30 free radical (X<sup>.</sup>) which is suitable as a free radical initiator and a stable free radical(>N-O<sup>.</sup>). However, this method does not include the production of rubber modified polymers.

Therefore, there remains a need for achieving the rubber particle size, distribution and morphology desired, utilizing in-situ produced block rubbers which offer enhanced physical properties and efficient processing using a cost effective mass/solution process.

5    **SUMMARY OF THE INVENTION**

The present invention offers a solution for achieving rubber particle size, distribution and morphology control in the production of rubber modified polymers at an increased rate utilizing functionalized diene rubbers, wherein the functionality enables controlled radical polymerization.

10    The present invention is a mass/solution polymerization process utilizing a functionalized diene rubber to produce a rubber modified polymer from a vinyl aromatic monomer comprising polymerizing the vinyl aromatic monomer in the presence of a diene rubber, wherein the diene rubber comprises a functionalized diene rubber having:

- 15    a) a solution viscosity of less than 50 centipoise (cps),  
b) a functional group which enables controlled radical polymerization; such that grafted compatible rubbers are formed, allowing improved control of rubber particle size, distribution and morphology. Higher production rates are made possible due to the presence of these grafted compatible rubbers.

It has been surprisingly discovered that the process of the present invention produces  
20    a rubber modified polymer of superior gloss and toughness due to the increased control of the rubber particle size, distribution and morphology utilizing the specific functionalized diene rubbers as described.

**DESCRIPTION OF THE PREFERRED EMBODIMENTS**

25    Vinyl aromatic monomers suitable for use in the process of the present invention include any vinyl aromatic monomers such as those described in U.S. Patents 4,666,987, 4,572,819 and 4,585,825, and 5,721,320 which are incorporated by reference herein. The vinyl aromatic monomer may also be combined with other copolymerizable monomers. Examples of such monomers include, but are not limited to acrylic monomers such as  
30    acrylonitrile, methacrylonitrile, methacrylic acid, methyl methacrylate, acrylic acid, and methyl acrylate; maleimide, N-phenyl maleimide, and maleic anhydride. The polymerization of the vinyl aromatic monomer is conducted in the presence of predissolved

elastomer/rubber to prepare impact modified, grafted rubber containing products, examples of which are described in U.S. Patents 3,123,655, 3,346,520, 3,639,522, and 4,409,369, which are incorporated by reference herein.

The rubber used in the process of the present invention comprises a functionalized low viscosity diene rubber having a solution viscosity (5 percent in styrene at 20°C) in the range of 5 to less than 50 centipoise (cps), preferably from 10, more preferably from 15, and most preferably from 20 to less than 45, preferably to less than 40, more preferably to less than 35, and most preferably to less than 30 cps. In general, the solution viscosity for the functionalized diene rubber will be below 50 cps. The functionalized diene rubber additionally exhibits a second order transition temperature not higher than 0°C, and preferably not higher than about -20°C.

Suitable functionalized diene rubbers include rubbers derived from 1,3-conjugated dienes such as butadiene, isoprene, chloroprene or piperylene, and the like. These rubbers include diene homopolymers, as well as copolymers and block copolymers of alkadienes and a vinyl aromatic monomer. Specifically, the functionalized copolymers can be diblock copolymers of alkadienes including 1,3-conjugated dienes such as butadiene, isoprene, chloroprene or piperylene and a vinyl aromatic monomer, wherein the block produced from the vinyl aromatic monomer is at least 5 weight percent, preferably at least 10 weight percent based on the total weight of the block copolymer. Most preferred functionalized block copolymers are functionalized copolymers of 1,3-butadiene and styrene. The functionalized block copolymers can have any number of blocks having an  $(AB)_n$  configuration, wherein n is an integer from 1 to 4, such as AB, ABAB, ABABAB and so on. Preferably, the functionalized copolymer rubber is a functionalized AB block rubber. Preferably, the functionalized copolymer rubber contains at least 5, more preferably at least 10, and most preferably at least 15 to 40, preferably to 35, more preferably to 30 and most preferably to 25 weight percent vinyl aromatic block, based on the total weight of the functionalized block copolymer. It is known that a small amount of tapering can occur in the production of such block rubbers. The rubber may have any architecture, such as linear, branched or star branched, and a microstructure having any vinyl/cis/trans ratio, as long as the rubber meets the other requirements stated previously.

Such rubbers are widely known in the art as well as methods for their manufacture as disclosed in Science and Technology of Rubber (Academic Press, ) Ed. James E. Mark, Burak Erman, Frederick R. Eirich-Chapter 2.VIII pgs. 60-70.

5 The functionalized diene rubber contains a minimum of 1 functional group per rubber molecule. The functional group is defined as a functionality which enables controlled radical polymerization. Controlled radical polymerization employs the principle of dynamic equilibration between growing free radicals and dormant or unreactive species as disclosed in "Controlled/Living Radical Polymerization" (2000) p. 2-7 ACS Symposium series, 768.

10 The functionality included in the functionalized diene rubber can enable controlled radical polymerization through a number of different mechanisms including:

- I) stable free radical polymerization, for example, nitroxide mediated polymerization;
- II) metal catalyzed atom transfer radical polymerization (ATRP),
- 15 III) reversible addition-fragmentation chain transfer (RAFT) and
- IV) a degenerative transfer process based on a thermodynamically neutral (at the propagation stage) exchange process between a growing radical, and a dormant species; and

other degenerative transfer processes as described in Handbook of Radical Polymerization, Ed. K. Matyjaszewski, T.P. Davis (Wiley) p. 383-384.

The functional group can be attached to the rubber utilizing any acceptable method which places at least 1 functional group on the backbone or chain end of the diene rubber. In one embodiment, the functional group is attached to the rubber via the end of the polymer chain and no random attachment of the functional group occurs on the rubber polymer chain. Examples of such are included in U.S. Patent 5,721,320. In a preferred embodiment, the functionalized diene rubber does not contain any other functionalities which are reactive during the radical polymerization process, other than the typical unsaturation present in diene rubbers..

30 Additionally, non-functionalized rubbers can be used in combination with the functionalized diene rubbers in the process of the present invention. In this case, typically at least 5 weight percent of the total rubber present is a functionalized diene rubber, generally at least 10, preferably at least 15, more preferably at least 20 and most preferably at least 25

weight percent, based on the total weight of all rubbers, to about 100, preferably to about 90, more preferably to about 80, even more preferably to about 70, and most preferably to about 60 weight percent. The non-functionalized rubber can be any rubber typically used in rubber modified polymers including diene homopolymers and copolymers with vinyl aromatics; block copolymers, star branched rubbers, linear rubbers, and the like.

In one embodiment, the functional group of the functionalized diene rubber will generate a stable free radical which is capable of enabling controlled free radical polymerization. Stable free radicals include compounds which can act as radical polymerization inhibitors such as nitroxide radicals, for example, 2,2,6,6,-tetramethyl-1-piperidinyloxy (TEMPO) as disclosed in U.S. Patent 6,262,179 and U.S. Patent 5,721,320, both of which are incorporated herein by reference. Other stable free radical compounds include, but are not limited to 2,2,6,6-tetramethyl-1-[1-[4-(oxiranyl-methoxy)phenyl]ethoxy]-piperidine and 3,3,8,8,10,10-hexamethyl-9-[1-[4-(oxiranylmethoxy)phenyl]ethoxy]-1,5-dioxa-9-azaspiro[5.5]undecane .

The stable free radical group is defined as a substituent which is capable of forming a stable free radical upon activation as described in U.S. Patent 5,721,320. Other nitroxyl containing compounds can be found in U.S. Patent 4,581,429 by Solomon et al. which is incorporated herein by reference.

The rubber reinforced polymer can be prepared by dissolving the functionalized rubber in the vinyl aromatic monomer and polymerizing. This process can be conducted using conventional techniques known in the art for preparing rubber reinforced polymers such as high impact polystyrene (HIPS) and ABS, which are described in U.S. Patents 2,646,418, 4,311,819 and 4,409,369 and are incorporated herein by reference.

The amount of total rubber, including functionalized and any non-functionalized rubbers if present, used in the process of the present invention is typically from 5, preferably from 7 to 25 percent, preferably to 20 percent and more preferably to 18 percent, based on the total weight of the polymerization mixture. By total rubber, is meant the total weight of rubber present in the initial feed of the polymerization including the functionalized rubber and any non-functionalized rubbers. The initial feed is added to the first reactor and includes monomers, rubber, solvent, etc.

Initiators may optionally be used in the process of the present invention. Useful initiators include free radical initiators such as peroxide and azo compounds which will

accelerate the polymerization of the vinyl aromatic monomer. Suitable initiators include but are not limited to peroxides such as tertiary butyl peroxyacetate, dibenzoyl peroxide, dilauroyl peroxide, 1-3-bis-(tertiarybutylperoxy)-3,3,5-trimethyl cyclohexane, t-butylhydroperoxide, ditertiary-butylperoxide, cumene hydroperoxide, dicumylperoxide, 5 1,1-bis(tertiary-butylperoxy)-3,3,5-trimethyl-cyclohexane, t-butylperoxybenzoate, 1,1-bis(t-butylperoxy)- cyclohexane, benzoylperoxide, succinoylperoxide and t-butyl-peroxypivalate, and azo compounds such as azobisisobutyro-nitrile, azobis-2,4-dimethylvaleronitrile, azobiscyclohexanecarbo-nitrile, azobismethyl isolactate and azobiscyanovalerate.

Initiators may be employed in a range of concentrations dependent on a variety of factors including the specific initiators employed, the desired levels of polymer grafting and the conditions at which the mass polymerization is conducted. Typically from 50 to 500, preferably from 75 to 250, parts per million based on the total weight of the initial feed.

Additionally, a solvent may be used in the process of the present invention.

Acceptable solvents include normally liquid organic materials which form a solution with the rubber, vinyl aromatic monomer and the polymer prepared therefrom. Representative solvents include aromatic and substituted aromatic hydrocarbons such as benzene, ethylbenzene, toluene, xylene or the like; substituted or unsubstituted, straight or branched chain saturated aliphatics of 5 or more carbon atoms, such as heptane, hexane, octane or the like; alicyclic or substituted alicyclic hydrocarbons having 5 or 6 carbon atoms, such as 15 cyclohexane; and the like. Preferred solvents include substituted aromatics, with ethylbenzene and xylene being most preferred. In general, the solvent is employed in amounts sufficient to improve the processability and heat transfer during polymerization. Such amounts will vary depending on the rubber, monomer and solvent employed, the process equipment and the desired degree of polymerization. If employed, the solvent is 20 generally employed in an amount of up to about 35 weight percent, preferably from about 5 to about 25 weight percent, based on the total weight of the initial feed.

Other materials/additives may also be present in the process of the present invention, including plasticizers, for example, mineral oil; flow promoters, lubricants, antioxidants, for example, alkylated phenols such as di-tertbutyl-p-cresol or phosphites such as trisnonyl 30 phenyl phosphite; catalysts, for example, acidic compounds such as camphorsulfonic acid; mold release agents, for example, zinc stearate, or polymerization aids, for example, chain transfer agents such as an alkyl mercaptan, for example, n-dodecyl mercaptan and silicon

oils. If employed, the chain transfer agent is generally employed in an amount of from about 0.001 to about 0.5 weight percent based on the total weight of initial feed.

The weight average molecular weight (Mw) of the matrix phase can vary greatly dependent upon the applications of the rubber modified polymer. Typically, the Mw can  
5 vary from 50,000 to about 300,000 g/mole. Mw is measured using gel permeation chromatography calibrated using polystyrene standards.

The polymerization can be achieved by a number of processes and is preferably conducted in one or more substantially linear stratified flow or so-called plug-flow type reactors, as described in U.S. Patent No. 2,727,884, which is incorporated herein by  
10 reference. In one embodiment, the composition of the present invention is produced using a linear polymerization process, utilizing one or more polymerization reactors to produce a rubber modified polymer having a broad monomodal rubber particle size distribution. In another embodiment, recirculation can be combined with the linear process. Recirculation is a technique wherein a portion of a partially polymerized feed is added back at an earlier  
15 stage of the polymerization process. If bimodal particle size distributions are desired, it can be accomplished by any acceptable method including those disclosed in U.S. Patents 4,221,883; 5,240,993; and 4,146,589, all of which are incorporated herein by reference, as well as in EP-96,447B and EP-892,820. In one aspect, a first mixture of a solution of a monovinylidene aromatic monomer, optionally an ethylenically unsaturated nitrile  
20 monomer, and a rubber is mass polymerized in the presence of an initiator under conditions sufficient to form a partially polymerized continuous phase containing polymer and discrete particles of highly grafted rubber having a specific volume average diameter. A second rubber-containing mixture is subsequently admixed with the partially polymerized feed under conditions such that the previously formed rubber particles remain dispersed  
25 throughout the continuous polymer phase. The newly added rubber is dispersed as discrete particles having a second volume average diameter. Bimodal compositions can also be obtained by producing each particle size in a separate reactor, combining both reaction streams and continuing the polymerization. Alternatively, melt blending can be used to combine two different rubber modified polymers to produce a rubber modified polymer  
30 having a bimodal particle size distribution, or a composition having two different rubber particle densities.

The process of the present invention is particularly useful in preparing high impact polystyrene (HIPS) and acrylonitrile-butadiene-styrene polymers(ABS) which can be used in a variety of applications including injection molding and thermoforming of refrigerator liners, household appliances, toys, automotive applications and furniture. The rubber modified polymers produced can also have advantageous applications in the production of articles containing other thermoplastic polymers, in that the rubber modified polymers produced by the process of the present invention have improved welding properties when compared to other rubber modified polymers of the prior art. Additionally, the polymers produced can be blended with other polymers for additional applications.

In a preferred embodiment, the present invention is a mass process for producing acrylonitrile/butadiene/styrene (ABS) rubber modified polymer consisting essentially of: polymerizing styrene/acrylonitrile in the presence of a functionalized block copolymer rubber having a solution viscosity of less than 50 cps and containing greater than 5 weight percent polymerized styrene block, wherein the functionalized rubber comprises a functional group capable of forming a stable free radical group.

The following examples are provided to illustrate the present invention. The examples are not intended to limit the scope of the present invention and they should not be so interpreted. Amounts are in parts by weight unless otherwise indicated.

**20      Examples**

Intrinsic Gloss is determined by 60° Gardner gloss on specimens prepared from molded samples, 30 minutes after molding, according to ISO 2813 with "Dr. Lange RB3" reflectometer.

Intrinsic gloss specimens were molded on an a DEMAG injection molding machine model D 150-452, having the following molding conditions: Barrel temperature settings of 210, 215, and 220°C; Nozzle temperature of 225°C, Mold temperature of 30°C; Injection pressure: 1500 bar; Holding pressure 50 bar; Holding time 6 seconds; Cavity switch pressure: 200 bar; Cooling time: 30 seconds; and injection speed: 10 cubic centimeters per second ( $\text{cm}^3/\text{s}$ ).

The dimensions of the molded plaque are 64.2 mm x 30.3 mm x 2.6 mm. Intrinsic gloss is measured in the center of the plaque on the surface at which the pressure is measured. The materials are injected through one injected point located in the middle of the

short side of the mold. During injection molding, the injection pressure switches to holding pressure when the cavity pressure reaches the pre-set value. The pressure transducer is located at a distance of 19.2 mm from the injection point.

The polishing of the mold is according to SPI-SPE1 standard of the Society of

5 Plastic Engineers.

Solution viscosity is measured in 5 wt. percent solution in styrene at 25°C.

RPS (rubber particle size) is measured using Coulter Counter (20 $\mu\text{m}$  orifice).

SB (styrene-butadiene) block copolymers are produced according to the process described in US Patent 5,721,320 (Priddy).

10 Examples 1-3

A continuous polymerization apparatus composed of three 2.4 liter plug flow reactors connected in series, wherein each plug flow reactor is divided in three zones of equal size, each zone having a separate temperature control and equipped with an agitator (temperature settings of 107/110/114°C with an agitator speed of 120 rpm; 114/116/120°C with an agitator speed of 120 rpm; 125/140/150°C with an agitator speed of 30 rpm respectively), is continuously charged with a feed composed of 12 parts by weight of rubber, 55.5 parts by weight of styrene, 17.5 parts by weight of acrylonitrile and 15 parts by weight of ethyl benzene at a rate of 900 g/hr. The initiator, 1,1-di(t-butyl peroxy) cyclohexane and is added to the top of the first reactor. N-dodecylmercaptan (NDM)(chain transfer agent) is added to optimize the rubber particle sizing and the matrix molecular weight. Table 2 contains further details with respect to run conditions and properties.

After passing through the 3 reactors, the polymerization mixture is guided to a separation and recovery step using a preheater followed by a devolatilizing extruder. Finally the molten resin is stranded, cooled and cut in granular pellets. Four different functionalized rubbers are used (Table 1) to evaluate the sizing characteristics under various conditions (optimizing initiator and chain transfer concentration).

Table 1

	Styrene/butadiene Rubbers	Sol.Visc. (cps)	Styrene content of rubber (wt. %)	Functionality on the rubber
Example 1	A	10	30	I
Example 2	B	25	15	I
Example 3	C	33	30	I
Comp. Ex. 1	D	52	10	none

I) 2,2,6,6-tetramethyl-1-[1-[4-(oxiranylmethoxy)phenyl]ethoxy]-piperidine

From Table 2 it is obvious that the RPS is much larger for comparative example 1, being reflected in a much lower intrinsic gloss.

Table 2

Rubber type	Initiator top R1 (ppm)	NDM top R1 (ppm)	NDM top R2 (ppm)	RPS ( $\mu\text{m}$ )	Intr.Gloss (%)
<b>A</b>	120	300	1000	0.45	82
	120	200	1000	0.39	88
	120	100	1000	0.42	84
	120	150	1000	0.38	87
	120	250	1000	0.39	90
	110	200	1000	0.39	89
	100	200	1000	0.40	89
<b>B</b>	100	200	1000	0.38	86
	100	150	1000	0.39	87
	100	250	1000	0.41	88
	100	100	1000	0.40	88
	100	50	1000	0.39	89
	90	50	1000	0.41	88
	90	0	1000	0.41	88
<b>C</b>	90	0	1000	0.40	87
	90	50	1000	0.41	87
	90	100	1000	0.40	87
	90	150	1000	0.42	86
	90	200	1000	0.42	84
	100	200	1000	0.41	85
	100	250	1000	0.46	82
<b>D*</b>	100	250	1000	0.61	67
	100	250	1200	0.63	64
	90	250	1200	0.66	66
	90	200	1200	0.59	69
	90	150	1600	0.75	61

\*Comparative Example

##### 5 Examples 4-5

Using the same equipment as described previously, 3 rubbers are evaluated as shown in Table 3. The comparative examples use a standard non-functionalized block rubber and the second series of examples use rubbers (30 wt. percent styrene), containing a functionality which enables controlled radical polymerizations.

Table 3

	Rubber	Sol.Visc.(cps)	Styrene (wt. %)	Functionality
Example 4	E	24	30	II
Example 5	F	10	30	II
Comp. Ex. 2	G	17	30	none

II) 3,3,8,8,10,10-hexamethyl-9-[1-[4-(oxiranylmethoxy)phenyl]ethoxy]-1,5-dioxa-9-azaspiro[5.5]undecane

5 The feed composition, temperature and agitator speed of the reactors are the same. The same initiator and chain transfer agent are used, while different amounts are added to optimize the particle sizing and the matrix molecular weight. Feed based on non-functionalized rubber results in larger particles and very low intrinsic gloss, while small rubber particles and good intrinsic gloss are obtained using the functionalized rubber.

10

Table 4

Rubber type	Initiator top R1 (ppm)	NDM top R1 (ppm)	NDM top R2 (ppm)	RPS ( $\mu\text{m}$ )	Intr.Gloss (%)
E	100	250	600	0.41	83
	100	300	600	0.41	80
	100	250	840	0.42	85
	100	300	400	0.40	83
F	100	150	300	0.48	66
	100	150	1400	0.44	84
	100	200	1200	0.44	83
	100	250	1200	0.42	82
G*	100	150	300	0.61	29
	110	150	300	0.66	28
	120	150	300	0.58	25

\*Comparative Example